

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Continuation Patent Application Of:  
Kenneth Kiron and Kevin S. Bander

) Prior Application:  
) Application No. 09/140,868  
) Filed: August 27, 1998  
) Art Unit: 2761  
) Examiner: S. Tkacs  
) Present Application:  
) Examiner: Not Assigned  
) Art Unit: Not Assigned

Mailed: May 26, 2000

For: Open End Mutual Fund Securitization Process)



*Amend C*  
*# 8*  
*T2D*  
*12/14/01*

Box Patent Application  
Fee  
Commissioner For Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Dear Sir:

The above-identified Application is a continuation of co-pending prior U.S. Application No. 09/140,868 filed August 27, 1998 which is a divisional of U.S. Application No. 08/542,431 filed October 12, 1995 now U.S. Patent No. 5,806,048. Before examination, please amend the instant Application as follows:

**In the Specification:**

On page 1, line 5 (of the Substitute Specification), please insert

-- This Application is a continuation of co-pending prior U.S. Application No. 09/140,868 filed August 27, 1998 which is a divisional of U.S. Application No. 08/542,431 filed October 12, 1995 now U.S. Patent No. 5,806,048.- -

**In the Claims:**

Please cancel Claims 19-51 and add the following Claims:

- 52. An electronic data processing method for administering a financial product traded as a security comprising:
- a) creating a mutual fund comprising a plurality a selected portfolio of securities;
  - b) receiving information on each of said one or more securities in the selected portfolio in an electronic data format;
  - c) processing said information to determine in real time the price of the financial product on the basis of a user-defined method of weighting the selected portfolio of securities.
53. A method comprising the steps of:

*C*

*D*

*Sub D*